
Large-Scale Hierarchical Topic Models

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Abstract

In the past decade, a number of advances in topic modeling have produced sophisticated models that are capable of generating hierarchies of topics. One challenge for these models is scalability: they are incapable of working at the massive scale of millions of documents and hundreds of thousands of terms. We address this challenge with a technique that learns a hierarchy of topics by iteratively applying topic models and processing subtrees of the hierarchy in parallel. This approach has a number of scalability advantages compared to existing techniques, and shows promising results in experiments assessing runtime and human evaluations of quality. We detail extensions to this approach that may further improve hierarchical topic modeling for large-scale applications.

1 Motivation

With massive datasets and corresponding computational resources readily available, the Big Data movement aims to provide deep insights into real-world data. Realizing this goal can require new approaches to well-studied problems. Complex models that, for example, incorporate many dependencies between parameters have alluring results for small datasets and single machines but are difficult to adapt to the Big Data paradigm.

Topic models are an interesting example of this phenomenon. In the last decade, a number of sophisticated techniques have been developed to model collections of text, from Latent Dirichlet Allocation (LDA)[1] through extensions using statistical machinery such as the nested Chinese Restaurant Process [2][3] and Pachinko Allocation[4]. One strength of such approaches is the ability to model topics in a hierarchical fashion. Coarse and fine topics are learned jointly, creating a synergy at multiple levels from shared parameters. However, past experiments have focused on small corpora, such as sampled abstracts from journals with only thousands of documents and terms. Operating on the scale of text collections the size of Wikipedia - millions of documents with millions of terms - is beyond such models using current inference techniques.

While complex models have shown strong analytical results, simpler models that use fewer parameters and produce less structured output are being used at scale. In recent years, many parallel versions of LDA (eg. [5][6][7]) have been developed, capable of summarizing web-scale collections. Although these tools are a boon for analyzing massive amounts of data, they lack the ability to learn a hierarchical representation of topics. This situation highlights a common dilemma in Big Data: whether we can have our cake (models with rich output) and eat it too (operate on massive datasets).

We propose a solution that addresses this dilemma, reusing infrastructure from an existing parallel implementation of LDA in a novel way. Our method provides a scalable mechanism for learning hierarchies from large text collections. We learn top-down hierarchies, first learning topic models at a coarse level, then splitting the corpus into these learned topics and iteratively learning subtopics

in parallel. In experiments on large datasets from Wikipedia and TREC, we show fast training times and favorable human interpretability results, supporting exploratory data analysis at web-scale.

2 Background

Latent Dirichlet Allocation (LDA)[1] is a sophisticated topic model that has served as the foundation for much recent work in topic modeling. The method, defined for k topics and d documents, models topics as distributions over words (β_k), and documents as mixtures of topics (θ_d). For each word in a document d , $w_{d,n}$, a topic $z_{d,n}$ is chosen from the document’s topic distribution (θ_d), and a word is chosen from the topic’s distribution over words (β_k). The Dirichlet distribution of θ_d and β_k are parameterized by terms α and λ respectively. This generative story is reflected in the joint distribution shown in Equation 1.

$$p(w, z, \theta, \beta | \alpha, \lambda) = \prod_k p(\beta_k | \lambda) \prod_d p(\theta_d | \alpha) \prod_n p(z_{d,n}) p(w_{d,n} | \beta_{z_{d,n}}) \quad (1)$$

Topic models are learned through inference on the generative model described. This inference problem is intractable at scale, and approximate techniques such as Gibbs Sampling or using variational methods are used during modeling. Zhai et al. [7] have argued that variational inference is best suited to learning topic models in large-scale settings, as intra-document dependencies can be minimized through the choice of a variational distribution. Supporting this claim, they’ve provided an open-source, Apache-licensed implementation for topic modeling called Mr.LDA for Hadoop.

Hierarchical topic models [2] are often in the form of a DAG where nodes correspond to topics at varying levels of granularity. A number of models have been proposed for learning hierarchical topic models, but a common strategy is to choose a *set of topics* for each word in the document, corresponding to a path in the hierarchy. Using such models allows us to understand the relationship between topics at differing levels of the hierarchy, but at a cost. Instead of storing parameters for each term for each of k topics, we must now consider parameters for each *path* in the hierarchy, or k^l parameters for an l -level hierarchy with constant branching factor (and potentially more in techniques such as PAMs). Each of these parameters must maintain values for each term in our vocabulary V . The domains where hierarchies might prove most useful - massive, diverse collections using natural language - are likely to have very large vocabularies.

3 Method and Discussion

Algorithm 1 ITERATIVE-TOPIC-HIERARCHY: iteratively learn a topic hierarchy

Require: Dataset D

Require: Parameters k, LEVELS

Require: LEARN-TOPICS, learn topics from data, as in Mr.LDA : produces $\theta_{1:D}$ and $\beta_{1:k}$

Require: SPLIT-CORPUS, distribute dataset into k parts using topic-model M

LEARN-NODE($k, D, 0, \text{LEVELS}$)

function LEARN-NODE(k, D, l, LEVELS)

if $l < \text{LEVELS}$ **then**

$\langle \theta_{1:D}, \beta_{1:k} \rangle = \text{LEARN-TOPICS}(k, D)$

$D_{1:k} = \text{SPLIT-CORPUS}(\theta_{1:D}, D)$

for $i = 1 \rightarrow k$ **do**

 LEARN-NODE($k, D_i, l + 1, \text{LEVELS}$)

end for

end if

end function

Our approach learns a top-down hierarchy iteratively and is summarized in Algorithm 1. First, we learn a topic model of k topics using the entire corpus. Next, we create k new datasets and allocate each document in the corpus to zero, one, or more of the k datasets using method SPLIT-CORPUS and the θ values learned by the topic model. Finally, we learn k new topic models using the k

datasets constructed in the previous step. Each of these topic models corresponds to subtopics of one of the original k topics. This procedure can be applied iteratively, generating further levels of the hierarchy. Since our method doesn't add dependencies from subtopics to their parents (or siblings), the process is easily parallelizable by launching each learning task independently.

The consequence of these decisions allows us to leverage massive datasets while escaping some of the limitations of current hierarchical topic modeling. Existing models attempt to learn parameters *jointly* across levels of the hierarchy, while our approach learns at a single level, implicitly conditioning on ancestors. Instead of learning parameters for each *path* in the hierarchy, we learn parameters for each *node*, removing dependencies between nodes and allowing learning to take place in parallel. Finally, our method operates in a coarse-to-fine fashion, first learning a flat topic model and refining each topic, using information from the coarse model to make decisions about these refinements through its allocation of documents to subtasks.

4 Implementation Details

Our implementation uses the Mr.LDA package to learn the topic model (LEARN-TOPICS in Algorithm 1). We make customizations to this package to allow easier parallelization of learning and add support three models providing the functionality of SPLIT-CORPUS:

- SPLIT-SINGLE chooses the highest probability topic for each document d using θ_d and allocates the document to the corresponding topic: $[D_k = \{d : \text{mode}(\theta_d) = k\}]$
- SPLIT-MULTIPLE creates c copies of the document, and proportionally allocates those documents according to the probabilities in θ_d : $[D_k = \{d \times \text{cnt} : \text{cnt} = \text{round}(c * \theta_d[k])\}]$
- SPLIT-SELECT applies a threshold, t , on the entropy (H) of θ_d and then allocates documents below the threshold to the highest probability topic (as in SPLIT-SINGLE): $[D_k = \{d : \text{mode}(\theta_d) = k \wedge H(\theta_d) < t\}]$

SPLIT-SINGLE provides a very simple method to split the corpus, and has the added advantage that each document is used exactly once in each level of the hierarchy. SPLIT-MULTIPLE embodies the tenet of LDA topic modeling that each document is a mixture of topics by creating multiple copies of each document. A drawback of this approach is that the number of documents grows with each level, c^l , although this can be addressed with horizontal scaling. Another drawback is that, due to the allocation of less relevant documents to a topic, incoherent subtopics may be learned. Finally, SPLIT-SELECT chooses only documents that strongly map to a particular topic by using a threshold based on the entropy of the θ_d distribution. This allows us to cull irrelevant documents, but possibly at the cost of losing specialized subtopics that occur rarely in the dataset.

5 Datasets and Results

Our method was applied to two large-scale document collections - TREC and Wikipedia. The TREC collection consists of 473K documents from the Financial Times and LA Times[8]. Tokens were processed by stemming and removing terms that occur less than 20 times, yielding a vocabulary of 60K. The Wikipedia dataset consists of 3M documents with a vocabulary of 1.8M after removing tokens that either occurred in more than 90% of documents or less than 20 times. Illustrative results are also presented for LinkedIn member profiles in the Appendix.

For both of these collections, we learned a 2-level topic hierarchy on a modest Hadoop cluster. For the TREC corpus, we used a branching factor of 5 (5 topics at the root, 5 subtopics for each topic). For each learning phase, we used 40 mappers and 20 reducers. The root topic model ran for 22 iterations of variational inference with an average iteration time of 360s, after which the model converged (log likelihood changed by less than a factor of 10^{-6}). Subtopics from the root were run for 20 iterations, with an average iteration time of 230s using SPLIT-SINGLE corpus allocation. The total time for learning the full hierarchy was approximately 3.5 hours. For the larger Wikipedia corpus, we used a branching factor of 10 (10 root topics with 10 subtopics each). The root topic model converged after 41 iterations, with an average iteration time of 2300s. Subtopics from the root were run for 20 iterations, with an average iteration time of 700s using SPLIT-SINGLE

SINGLE	japan korea moscow nuclear russia	los metro angel orang san	bosnia serb london attack kill	america panama colombia prevent latin	hong kong li provinci comrad
MULTIPLE	report 94 forc govern militari	mr govern time industri financi	column counti citi who part	94 report 1994 english peopl	parti govern 94 report state
SELECT	industri london moslem ft product	traffick santa mexico park beach	tass africa itar sov herzegovina	list noriega won seat known	evolutionari comrad idea chuch procuratori

Table 1: Three sets of subtopics of topic 4 from the TREC corpus (brief/investig/korea/beij) generated by different document-allocation techniques. (Full TREC hierarchy in Appendix)

Measure	SINGLE	MULTIPLE	SELECT
Total Documents	472524	2362303	333693
Iteration time (s)	230	405	77
Intruders Found (%)	27.4	13.0	25.5

Table 2: Evaluation of scalability and quality of document-allocation techniques

corpus allocation. The total time for learning the full hierarchy was approximately 30 hours. The full hierarchy, with the top 5 IDF-normalized terms for each topic, is shown in the Appendix.

We proposed three methods for splitting the dataset when allocating documents to subtrees, and sample output for each is shown in Table 1. `SPLIT-MULTIPLE` was run with $c = 5$, so that 5 copies of each document were generated and allocated among the 5 possible subtopics. `SPLIT-SELECT` was run with $t = .72$, where documents where the entropy of θ_d was less than .72 were assigned to the most-probable topic in the multinomial and all others were ignored.

Speed and quality for these methods are assessed in Table 2. Adding multiple copies of documents increased running time by 75%, while only choosing low-entropy documents decreased running time by 66%. A growing trend in topic models is to focus on interpretability rather than model log likelihood [9], using *word intrusion* as a metric. Humans are presented with the top terms for a topic as well as an intruder - a term that has low probability for the current topic but high probability for some other topic. We conducted such an evaluation with six participants, using the 10 top terms in each topic as well as an intruder term, so that randomly choosing a term would have a 9.1% success rate. The intruder term was among the top 100 terms for another topic in the same subtree, but ranked between 250-500 for the chosen topic. Terms in each list were presented in random order, and the term lists were randomized across methods. The results suggest that the `MULTIPLE` (15.9%) strategy lags behind both `SINGLE` (27.4%) and `SELECT` (25.5%) which have similar results.

6 Conclusion and Future Work

The hierarchical topic modeling approach we’ve presented fulfills two goals outlined - rich output and scalability. The hierarchies learned are interpretable, both qualitatively and based on a word-intrusion evaluation. The method is scalable, operating on millions of documents and terms and producing results on the scale of hours and days. One aspect of our approach is allocating documents to subtasks learning different branches of the hierarchy. Our evaluation suggests that single-allocation or a selective-allocation both perform well, with a small quality-scalability tradeoff. In future work, we hope to perform more extensive evaluation, including a comparison of these techniques to prior models trained on a smaller sample of data. We are also interested in implementing a parallel variational inference algorithm for hierarchical LDA that uses sketches or hashing to reduce the number of parameters.

References

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7 Appendix

The customizations to Mr.LDA we detail are freely available at <https://github.com/puuj/Mr.LDA>

Terms shown in the tables below are normalized using a formula similar to TF-IDF. The probability of the term is used in lieu of term frequency, and inverse *topic* frequency is used to decrease the weight of terms that appear in multiple topics at the same level of the hierarchy. In the output shown, the top 500 terms from each topic were used for this normalization.

To save space, hierarchies are shown as tables in the Appendix. The first row of each table corresponds to a topic at the root level. Subsequent entries in each column are subtopics: children of the topic seen in the first row.

topic 0 design materi use qualiti space	topic 1 dollar share uk profit stock	topic 2 game team sport season photo	topic 3 al arab palestinian israel isra	topic 4 brief investig korea beij letter
subtopic 0₀ comput softwar electron machin user	subtopic 1₀ appoint airlin sir labour court	subtopic 2₀ shop museum restaur buy fashion	subtopic 3₀ resourc task materi labor properti	subtopic 4₀ japan korea moscow nuclear russia
subtopic 0₁ music artist news garden british	subtopic 1₁ pension risk life save mortgag	subtopic 2₁ inning pitch goal touchdown led	subtopic 3₁ uk pound 93 gatt round	subtopic 4₁ los metro angel orang san
subtopic 0₂ beij farmer daili fbis provinc	subtopic 1₂ index 00 se qtr 90	subtopic 2₂ russian concert moscow type radio	subtopic 3₂ battalion artilleri ukrainian enemi zhirinovski	subtopic 4₂ bosnia serb london attack kill
subtopic 0₃ ecolog command weapon coordin combat	subtopic 1₃ pre interim fin 5m 3m	subtopic 2₃ driver feet accid grade ride	subtopic 3₃ arafat plo jordan jan cairo	subtopic 4₃ america panama colombia prevent latin
subtopic 0₄ communiti district resid board metro	subtopic 1₄ percent china nuclear korea english	subtopic 2₄ attorney law council murder judg	subtopic 3₄ bosnia muslim polic human kill	subtopic 4₄ hong kong li provinci comrad

Table 3: Topic Hierarchy with branching factor 5 for TREC-60K corpus using SPLIT-SINGLE

topic 0 words physical cannot might individua	topic 1 records recorded festival theatre musical	topic 2 species genus food plants fish	topic 3 officers enemy combat ordered armed	topic 4 plot episodes lake told woman	topic 5 route railway friend municipality km	topic 6 64 cdp 44 bureau poverty	topic 7 catholic bishop lord sir charles	topic 8 league football cup championship teams	topic 9 election committee institute student professor
subtopic 0₀ lord wife movie asks scene	subtopic 1₀ scenes takes uses tells idea	subtopic 2₀ birds males female tail male	subtopic 3₀ gas cases scholars argued worlds	subtopic 4₀ wwe wwf tag raw triple	subtopic 5₀ la del spanish brazil el	subtopic 6₀ eighteens eighteen sixty 4 6	subtopic 7₀ sweden anne swedish husband denmark	subtopic 8₀ pitcher era asteroid sox pitched	subtopic 9₀ means treatment cannot drug therefore
subtopic 0₁ search database email page client	subtopic 1₁ dancers dancing bollywood repertoire dancer	subtopic 2₁ served tea chinese style dish	subtopic 3₁ film singh music love art	subtopic 4₁ hollywood roles starred festival filming	subtopic 5₁ storm tropical hurricane damage structure	subtopic 6₁ elementary valley republican post nearby	subtopic 7₁ theatre opera plays musical song	subtopic 8₁ punt kick song kicker qb	subtopic 9₁ film television polish writer newspapers
subtopic 0₂ gun planets spacecraft hebrew launch	subtopic 1₂ game electronic indie fulllength labels	subtopic 2₂ waste consumption concrete emissions farm	subtopic 3₂ li formula wang liu han	subtopic 4₂ band album guitar gameplay playstation	subtopic 5₂ peak rock lakes columbia summit	subtopic 6₂ railway wind coal railways plants	subtopic 7₂ gardens rooms roof features top	subtopic 8₂ renamed seats construction seating empire	subtopic 9₂ bar vice illinois democrat jersey
subtopic 0₃ disorder actions cognitive self consciousness	subtopic 1₃ ragam scale iranian revolution fwv	subtopic 2₃ subtropical flowers moist moth flowering	subtopic 3₃ irish uniform singapore ireland ira	subtopic 4₃ windows users web data user	subtopic 5₃ india germany poland literacy prefecture	subtopic 6₃ fuselage mk altitude ft kg	subtopic 7₃ ship ships fleet hms admiral	subtopic 8₃ wickets firstclass manchester cricketer batsman	subtopic 9₃ scientific phd economics physics environmental
subtopic 0₄ guitar tv advertising announced stations	subtopic 1₄ interview wanted really asked saying	subtopic 2₄ protein cell gene proteins dna	subtopic 3₄ economy asia african taiwan armenian	subtopic 4₄ emperor prince li liu chinese	subtopic 5₄ ride brand companys went livery	subtopic 6₄ internet wireless communications video microsoft	subtopic 7₄ regiment brigade battalion awarded medal	subtopic 8₄ tag poker riders wrestler nwa	subtopic 9₄ labour seats parliamentary cabinet constituency
subtopic 0₅ angle connected curve index let	subtopic 1₅ signal frequency transmitter operated site	subtopic 2₅ tambon amphoe villages town eruption	subtopic 3₅ divisions battalions offensive positions armoured	subtopic 4₅ cars contestants round contestant aircraft	subtopic 5₅ china chinese hong kong oil	subtopic 6₅ taxes revenue rates health pay	subtopic 7₅ refer surname arabic manuscript codex	subtopic 8₅ olympics olympic silver bronze metres	subtopic 9₅ communities hong kong providing needs
subtopic 0₆ particle cancer electron acid electrons	subtopic 1₆ starred season cast productions broadway	subtopic 2₆ railway services business founded launched	subtopic 3₆ greece berlin occupation serbia hungary	subtopic 4₆ gets tries asks decides leaves	subtopic 5₆ parkway turnpike toll corridor bypass	subtopic 6₆ heat requirements greater battery speeds	subtopic 7₆ cathedral papal santa portugal venice	subtopic 8₆ ferrari schumacher mclaren fl hamilton	subtopic 9₆ billion americans budget samesex increased
subtopic 0₇ chinese verb verbs nouns gender	subtopic 1₇ poland gmina district voivodeship administrative	subtopic 2₇ star symptoms syndrome bone muscle	subtopic 3₇ la promoted charles sir puerto	subtopic 4₇ trial ride arrested criminal train	subtopic 5₇ terminal platform airlines navy airline	subtopic 6₇ airfield assigned squadrons corps missions	subtopic 7₇ christians prayer gods divine gospel	subtopic 8₇ fifa uefa midfielder serie defender	subtopic 9₇ bishop command commander seminary navy
subtopic 0₈ season super xmen nintendo ball	subtopic 1₈ peaked listing rb contest grammy	subtopic 2₈ x f points theorem equation	subtopic 3₈ wing flying fighter engine submarine	subtopic 4₈ cable channels affiliate satellite stores	subtopic 5₈ team league stadium teams play	subtopic 6₈ racing v8 chassis cc sports	subtopic 7₈ boys tibetan guru pupils girls	subtopic 8₈ nba ncaa assists tackles coached	subtopic 9₈ football teams basketball athletic clubs
subtopic 0₉ university students education professor critical	subtopic 1₉ museum fiction gallery science editor	subtopic 2₉ spoken dialects speakers geological earths	subtopic 3₉ violence trial al lebanon syria	subtopic 4₉ homer author simpsons century bart	subtopic 5₉ income median households 65 size	subtopic 6₉ equation p theorem values vector	subtopic 7₉ ministry meeting seminary episcopal theological	subtopic 8₉ fight boxing stakes decision 64	subtopic 9₉ arrested told alleged killed refused

Table 4: Topic Hierarchy with branching factor 10 for Wikipedia corpus using SPLIT-SINGLE

topic 0 C++ Java PHP CSS C# C MySQL .NET HTML XML	topic 1 Microsoft Word Teachers Teaching Students Microsoft Excel English Curriculum Design Courses Editing Academia	topic 2 Supply Chain Supply Chain Management Business Strategy Purchasing Warehousing Contract Negotiation Inventory Management Procurement Negotiation New Business Development
subtopic 0₀ Research Algorithms Matlab Analysis Data	subtopic 1₀ English Composition British Literature Discourse World Literature Graduate Record Examinations	subtopic 2₀ Lean Manufacturing Six Sigma Continuous Improvement Manufacturing Process Improvement
subtopic 0₁ Java EE Hibernate Spring Tomcat Java	subtopic 1₁ Community Colleges Greek Life Counselor Education First Year Experience Personality Development	subtopic 2₁ New Business Development Marketing Strategy Sales Management Business Strategy Strategic Planning
subtopic 0₂ Microsoft SQL Server C# Data Applications Client	subtopic 1₂ Science Communication Human Physiology Laboratory Skills Dissection Invertebrates	subtopic 2₂ Logistics Transportation Warehousing Shipping Freight
subtopic 0₃ Electrical Engineering Embedded Systems VHDL Electronics FPGA	subtopic 1₃ Electronic Resources Special Collections Academic Libraries Virtual Reference Library Research	subtopic 2₃ Retail Sales Brand Marketing Merchandising
subtopic 0₄ PHP CSS MySQL Javascript HTML	subtopic 1₄ School Psychology Abnormal Psychology Cognitive Neuroscience Communication Disorders Health Psychology	subtopic 2₄ Procurement Purchasing Logistics Supply Chain Management Supply Chain

Table 5: Subset of hierarchy on LinkedIn member profiles. A 2-level hierarchy with branching factor 15 was learned using SPLIT-SINGLE. Each “document” was a member profile, and the terms in each document were explicitly or implicitly specified skills identified by LinkedIn (see <http://www.linkedin.com/skills/>). There were tens of millions of documents and tens of thousands of terms, with a training time of approximately one day using hundreds of mappers and reducers.